

03-06-02

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

02-184-US

First Inventor

BERNARD, John V.

Title

Method and System for Verifying or Certifying  
and Traits of Candidates Seeking Employment

Express Mail Label No.

ET 312394365 US

Only for new nonprovisional applications under 37 CFR 1.53(b)

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages **21**]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets ]
5. ☐ Oath or Declaration [Total Pages 

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)

i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application. see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies)
- ii. ☐ paper
- c. ☐ Statements verifying identity of above

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information

Examiner: \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(insert Customer Number or Bar code label here)

or ☐ Correspondence address below

Name

23726

PATENT TRADEMARK OFFICE

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Marc J. Farrell

Registration No. (Attorney/Agent)

37,826

Signature

*Marc J. Farrell*

Date

03/05/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 370.00

## Complete if Known

Application Number	
Filing Date	03/05/2002
First Named Inventor	BERNARD, John V.
Examiner Name	
Group Art Unit	
Attorney Docket No.	02-184-US

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to ~~charge~~  
indicated fee and credit any overpayments to:

Deposit Account Number 18-0582  
Deposit Account Name Reed Smith McClay  
Pittsburgh Office

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	370.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ 370.00

## 2. EXTRA CLAIM FEES

Total Claims 20 -20\*\* = 0 x 0.00 = 0.00  
Independent Claims 3 -3\*\* = 0 x 0.00 = 0.00  
Multiple Dependent 0.00 = 0.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

## SUBMITTED BY

Name (Print/Type) Marc J. Farrell

Registration No (Attorney/Agent) 37,826

## Complete (if applicable)

Telephone 717-257-3044

Signature

Marc J. Farrell

Date 03/05/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

March 5, 2002

**Box Patent Application**  
Assistant Commissioner for Patents  
Washington, D.C. 20231

VIA EXPRESS MAIL LABEL NO.: **ET 312394365 US**

Re: **New Patent Application**

Applicant: BERNARD, John V.

Title: **METHOD AND SYSTEM FOR VERIFYING OR  
CERTIFYING TRAITS OF CANDIDATES SEEKING  
EMPLOYMENT**

Attorney Docket No.: 02-184-US

Our Ref. No.: 252267-20002

Dear Sir:

Enclosed herewith for filing please find the following items in connection with the above-referenced new patent application under 37 C.F.R. §1.53(b):

1. Form PTO/SB/05: Utility Patent Application Transmittal;
2. Form PTO/SB/17: Fee Transmittal for FY 2002;
3. Patent Application, which comprises 17 pages of specification, three pages of claims (20 claims) and a one-page Abstract;
3. A check in the amount of \$370.00 representing the application filing fee; and
4. A self-addressed stamped postcard, the date-stamping and return of which is requested to acknowledge receipt of the enclosed items.

CERTIFICATE OF EXPRESS MAILING (37 CFR §1.10)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service as U.S. Post Office Express Mail, Mailing Label No. **ET 312394365 US**, **Box Patent Application**, Assistant Commissioner for Patents, Washington, D.C. 20231

Name: Christine C. McHale, Paralegal

Signature: Christine C. McHale

Date: March 5, 2002

213 Market Street  
9th Floor  
P.O. Box 11844  
Harrisburg, PA 17108-1844  
717.234.5988  
Fax 717.236.3777

Delaware  
New Jersey  
New York  
Pennsylvania  
Virginia  
Washington, DC

reedsmith.com

Box Patent Application  
March 5, 2002  
Page 2

ReedSmith<sup>LLP</sup>

The U.S. Patent and Trademark Office is hereby authorized to charge any *additional* fees which may be required, or credit any *overpayments* to Deposit Account No. 18-0582. A duplicate copy of this transmittal letter is enclosed.

Please address all future communications regarding this application to:

Marc J. Farrell, Esquire  
Reed Smith LLP  
213 Market Street, 9th Floor  
P.O. Box 11844  
Harrisburg, PA 17108-1844

Direct Dial: (717) 257-3044  
Fax: (717) 236-3777  
E-mail: mfarrell@reedsmith.com

000023726

Respectfully submitted,

REED SMITH LLP

By 

Marc J. Farrell  
Registration No. 37,826  
PTO Customer Number 000023726

MJF/ccm

Enclosures